- control over Licensee, namely, who has control over the
- Licensees' funds and I can cite you -- if you give me five
- minutes, I can q and cite you tons of cases to that effect.
- 4 I think better evidence would have been the
- 5 checks. The check -- like I said, there might be two or
- 6 three checks, there might be 150 checks. I don't know. I
- 7 would be preparel, if push came to shove, to say give me the
- 8 checks and I will make copies of them, and I will make my
- 9 own exhibits out of them. I can make Judge's exhibits, and
- 10 I have done in that in the past.
- But you are right, the Bureau's case is completed,
- 12 but this is -- I want that in the record because I think it
- would be meaningful information for me to have them and for
- the Commission to have.
- And aryway you want to do it is fine with me. I
- 16 mean, if you wart to give me all the checks, and we will go
- through them and say these are the ones Rice signed, these
- are the ones he didn't, and do a count, and give me the
- dates of the Rice checks, and who they were written to, and
- the purposes for which they were written.
- I thirk that's a strong indication of control,
- 22 possible control, and it also could relate to the
- 23 misrepresentation issue when you were telling the Commission
- he was doing on thing, and maybe he wasn't. Maybe he was
- 25 doing more than that.

- MR. GAFNEY: Just respectfully for the record, we
- 2 would -- we would stand on our objection.
- JUDGE FIEINBERG: Right.
- 4 MR. GAFFNEY: But if the Court would like to see
- 5 the evidence produced by the Bureau in the case, and the
- Bureau does have the burden of proof, we would think the
- 7 Bureau's obligation to have presented it by now. So we
- 8 would just for the record respectfully object.
- JUDGE STEINBERG: I can't disagree with you.
- MR. GAFFNEY: Thank you, Your Honor.
- JUDGE STEINBERG: You are right, but in my
- discretion I wart the evidence. I want that here. And
- however it gets in here, I don't care. If you want to put
- it in as your exhibit, that's fine. Or if you want to
- 15 stipulate to the information, that's fine. I just think
- that it should be in here in some way, shape or form so that
- 17 I can look at i and the Commission can look at it. That's
- why I had a note to ask if there weren't any checks. But if
- there weren't any, then the signature authority is
- 20 meaningless, in effect.
- Okay, let's go to the Licensees direct case.
- 22 MS. SADOWSKY: Your Honor, I have handed to the
- court reporter an original and one copy of an exhibit which
- I request to be identified as Contemporary Exhibit No. 1.
- 25 It is entitled 'The Direct Testimony of Janet Cox." It is a

1	15-page exhibit with Appendices A through H.
2	JUDGE TEINBERG: Let me just my secretary
3	counted the page: , and excluding title pages and tabs and,
4	you know, pages hat say Appendix G-1, et cetera, it's 64
5	pages.
6	MS. SADOWSKY: Thank you, Your Honor.
7	JUDGE TEINBERG: I mean, she put numbers on all
8	the page with the exception of the ones I was referring to.
9	Okay, so the document described will be marked for
10	identification as do you want it Contemporary, or do you
11	want it Licensee Well. Contemporary Exhibit 1 because that
12	is what is typed on it.
13	(The document referred to was
14	marked for identification as
15	Contemporary Exhibit No. 1.)
16	MS. SADOWSKY: Yes, Your Honor, and we offer it
17	into evidence at this time.
18	JUDGE RTEINBERG: Any objection?
19	MR. ZA JNER: No objection.
20	JUDGE GTEINBERG: Okay, Exhibit 1 is received.
21	(The document referred to,
22	having been previously marked
23	for identification as
24	Contemporary Exhibit No. 1,
25	was received in evidence.)

- MR. ZAUNER: Your Honor, I don't have an
- objection, but I do have a request.
- The Bu eau's copy of Exhibit 1 did not include --
- 4 JUDGE TEINBERG: Oh, yes, it did.
- 5 MR. ZAUNER: -- Appendix E.
- 6 MS. SADOWSKY: Yes, it --
- JUDGE STEINBERG: It's out of sequence.
- 8 MS. SADOWSKY: -- a collating problem. If you
- 9 will look at Appendix D, you will see that there are two,
- you will see doc ments 107, 108 and 79 and 80?
- MR. ZAUNER: Um-hmm.
- MS. SADOWSKY: Seventy-nine and 80 should have
- been behind Appendix E.
- MR. ZAUNER: Okay, with that explanation, no
- 15 objection.
- JUDGE GTEINBERG: Well, I had already figured it
- 17 out. I can't --
- MR. ZAUNER: Would she like a job with the Mass
- 19 Media Bureau?
- JUDGE GTEINBERG: I can't take any -- ask her a
- couple of months from now.
- 22 (Laughter.)
- JUDGE 'TEINBERG: Okay. Exhibit 1 was received.
- MS. SA OWSKY: Your Honor, I ask --
- JUDGE GTEINBERG: Off the record, please.

1	(Pause off the record.)
2	JUDGE STEINBERG: We are back on the record.
3	MS. SADOWSKY: Your Honor, I ask for marking for
4	identification as Contemporary Exhibit No. 2 a document
5	entitled "Direct Testimony of Richard Hauschild," that's the
6	pronunciation. t's a six-page exhibit with Appendix A.
7	JUDGE STEINBERG: Okay, I can give you
8	according to my egal tech it's the total number of pages
9	in Exhibit No. 2 are 142 pages, excluding the piece of paper
10	that say Appendi A, and the tab page.
11	Okay, and that document will be marked for
12	identification a: Contemporary Exhibit No. 2.
13	(The document referred to was
14	marked for identification as
15	Contemporary Exhibit No. 2.)
16	MS. SADOWSKY: Your Honor, I offer this into
17	evidence at this time.
18	MR. ZAJNER: Your Honor, the Bureau has some
19	objections to Exhibit No. 2.
20	First of all, Your Honor, on page 4 of the
21	testimony of Richard Haus
22	JUDGE STEINBERG: Hauschild.
23	MR. ZA JNER: Hauschild. The last sentence in
24	paragraph eight, "Michael Rice had no involvement in the

process of retaining DL Consulting." I believe DL

25

- Consulting was retained by Janet Cox, and Mr. Hauschild's
- 2 knowledge would be incomplete, to say the least.
- I would request that this sentence be modified to
- 4 include the word: "To my knowledge, Michael Rice had no
- involvement in the process of retaining DL Consulting."
- 6 MS. SADOWSKY: Your Honor.
- 7 MR. ZA NER: Not as a statement of fact, but
- 8 rather a stateme t of his opinion or personal knowledge.
- JUDGE STEINBERG: Well, you can ask him.
- MR. ZA NER: Well, we are not planning on calling
- 11 Mr. Hauschild.
- JUDGE GTEINBERG: Oh, okay, then you can't ask
- 13 him.
- MS. SA DOWSKY: Your Honor, the --
- MR. ZA JNER: We may change that determination
- after we get through the documents. But right now our
- initial determination is not to call him.
- 18 MS. SA)OWSKY: Your Honor, the declaration of Mr.
- 19 Hauschild supporting his testimony indicates that "The
- 20 statements contained are true and correct to the best of my
- 21 knowledge, information and belief."
- JUDGE STEINBERG: So this is his belief.
- MR. ZA JNER: Okay, with that understanding, no
- 24 problem.
- JUDGE STEINBERG: I mean, is that okay with you,

- that this is his belief?
- 2 MS. SADOWSKY: Yes.
- JUDGE STEINBERG: Okay. Any other objections?
- 4 MR. ZAMNER: Yes, Your Honor.
- 5 The Bu eau objects to the testimony of Mr.
- 6 Hauschild contained in paragraph nine. The station's record
- of public service and community involvement is irrelevant to
- 8 the issues in this proceeding, and we move that paragraph
- 9 nine and the related documents in Appendix A not be received
- in evidence in this proceeding.
- 11 MS. SA)OWSKY: Your Honor, we believe that the
- information contained in paragraph nine is relevant to
- mitigation under "2" of the categories of mitigation. One,
- 14 being the character of the licensee. We believe this
- information goes to the character of the licensee.
- And, number two, it goes to compliance with
- 17 Commission polic, and rules.
- We believe this information is highly relevant
- 19 with respect to our client's compliance with the duty of a
- licensee to program in the public interest. We believe this
- 21 demonstrates that, and we believe that it is relevant. The
- weight is something that can be argued in the findings and
- conclusions, but we believe this information is very
- relevant to the issues in this case.
- JUDGE STEINBERG: Okay, the objection will be

- sustained, and paragraph nine, and Appendix A will not be
- 2 received.
- And the ruling is it's basically we established
- 4 that historicall the Commission has not considered
- 5 programming evidence as a mitigating factor in cases of
- 6 egregious misconduct. And I will cite for that proposition
- 7 Cosmopolitan Broadcasting Corporation, 75 FCC 2nd., 423.
- 8 It's a 1980 case
- 9 At page 25 in Note 3 the Commission stated, "Some
- forms of miscondact, e.g., misrepresentation, bribery,
- fraudulent billing, are prima facie so serious that a grant
- would not be in the public interest no matter how
- meritorious the applicant's past programming record, and in
- such cases the Commission will not even consider programming
- evidence as a miligating factor."
- The Commission also noted in Cosmopolitan that
- 17 "Even in cases where it would consider mitigating evidence,
- 18 the evidence offered for mitigation purposes is limited to
- programming matters, and may not include other forms of
- public service rendered by a licensee." And that was
- 21 Footnote 4 of Cosmopolitan.
- In the character policy statement, 102 FCC 2nd,
- 23 1179, at page 12.1, Note 79, the Commission observed that,
- "In cases of misrepresentation, we are not required to
- consider the station's past programming performance."

- And I hink that those two cases would preclude my
- consideration and the Commission's consideration of any kind
- of programming e idence.
- MS. SADOWSKY: Your Honor, may I have one
- 5 rejoinder?
- If it's not relevant to the misrepresentation
- issue, we believe it was offered not with respect to the
- 8 misrep issue; it was offered with respect to Issue No. 1.
- 9 JUDGE ;TEINBERG: Well, I --
- 10 MS. SADOWSKY: We believe that it is relevant
- under the categories of mitigation that were discussed in
- the character policy statement. And, in fact, we know that
- at least as recently as April 1995, in the Richard Richards
- case, the review board, which is at 10 FCC Record No. page
- 3950, did view the -- the record of the licensee as having
- some weight with respect to the mitigation factor.
- JUDGE RTEINBERG: Okay. You have my ruling.
- MS. SA DOWSKY: Thank you.
- 19 JUDGE STEINBERG: Any other objections?
- MR. ZA JNER: No, Your Honor.
- MS. SA DOWSKY: Your Honor, can we state for the
- record that we would make an offer of proof with respect to
- 23 the content of paragraph number nine?
- JUDGE STEINBERG: Of course. You really don't
- have to make an offer of proof because paragraph nine and

- 1 Appendix A go along with your exhibit and with the record.
- 2 So if the case tarns out unfavorably to you, or if it turns
- out favorable to you, you can always file exceptions to my
- 4 ruling.
- 5 MS. SADOWSKY: Thank you.
- JUDGE STEINBERG: And say this should have been
- 7 considered.
- 8 MS. SADOWSKY: Your Honor, I request at this time
- 9 that --
- JUDGE GTEINBERG: Let me --so Mr. Zauner didn't
- 11 have any objections?
- MR. ZA JNER: No, Your Honor.
- JUDGE REINBERG: Okay, so Exhibit No. 2 is
- 14 received, except for paragraph nine and Appendix A.
- 15 (The document referred to,
- having been previously marked
- 17 for identification as
- 18 Contemporary Exhibit No. 2,
- was received in evidence
- except for paragraph nine and
- 21 Exhibit A.)
- MS. SA DOWSKY: Your Honor, I request at this time
- to have marked as Contemporary Exhibit No. 3 a document
- entitled "Testimony of Dan Leatherman." It's a three-page
- document of his testimony, plus documents attached as

- 1 Appendix A there o. I request that it be marked for
- 2 identification.
- 3 JUDGE STEINBERG: Okay, the document described
- 4 will be marked for identification as Contemporary Exhibit
- 5 No. 3.
- 6 (The document referred to was
- 7 marked for identification as
- 8 Contemporary Exhibit No. 3.)
- 9 MS. SADOWSKY: And I offer it into evidence at
- 10 this time.
- JUDGE GTEINBERG: Just wait a second before you,
- 12 if you have any objection, I just want to review something
- 13 for a minute.
- (Pause)
- JUDGE GTEINBERG: Okay, Mr. Zauner.
- MR. ZA JNER: Yes, Your Honor.
- The Bureau would object to paragraph seven and the
- related document; which are contained in Appendix A to
- 19 Exhibit 3. And our objection is based on the same grounds
- that we objected to similar information in the preceding
- 21 exhibit.
- MS. SADOWSKY: I'm sorry. Was his objection --
- JUDGE STEINBERG: To paragraph seven and, I quess,
- 24 Appendix A, correct?
- MR. ZA JNER: Right.

- MS. SADOWSKY: The entire paragraph seven?
- 2 MR. ZA JNER: Yes, Your Honor.
- MS. SA)OWSKY: Your Honor, we believe that given
- 4 your ruling on the material that was not entered in Exhibit
- 5 No. 2, that at least in paragraph seven, the first two
- 6 statements clearly are relevant to the Issue No. 1 in this
- 7 case with respec to the station's operations and
- 8 performance.
- And we believe they go to Issue No. 1. We don't
- think that they are objectionable under these same theory
- that the programming information might have been
- 12 objectionable
- JUDGE STEINBERG: Okay. The objection is --
- MR. ZAJNER: Your Honor, may I be heard on that?
- JUDGE GTEINBERG: Well, you already objected.
- MR. ZA JNER: I objected, but she has come back now
- and asked to offer just two sentences.
- JUDGE STEINBERG: No, she responded to your
- objection and I am ruling.
- You object to the whole paragraph.
- MR. ZAJNER: I objected to the whole paragraph.
- JUDGE STEINBERG: Right.
- 23 MR. ZAJNER: Right. And the attached documents on
- 24 the previous grainds.
- JUDGE STEINBERG: Okay, have your say on the first

7 two sentences. 2 MR. ZAUNER: Okay. Your Honor, I just very 3 simple, there is no issue in this proceeding regarding the station's operat ons or performance. These first two sentences are clearly irrelevant to the issues that are 6 pending in this case. They have nothing to do with Mr. Rice's conviction. They have nothing to do with the 8 misrepresentation by the station, and they have nothing to do with whether the station has been transferred. 9 1.0 JUDGE GTEINBERG: Okay. The objection is overruled with respect to the first two sentences of 11 12 paragraph seven. There were representations that Mr. Rice 13 had no involvement in the operations of the station after a 14 certain date. These sentences go to that. 15 The remainder of this paragraph will be stricken, 16 so your objection is sustained with respect to the remainder 17 of the paragraph beginning with "The station continues to 1.8 maintain a recorl of community involvement and public 19 service." So that material is stricken, and Appendix A is 20 stricken for the reasons that I stated with respect to the 21 previous exhibit 22 So with those rulings, Exhibit 3 is received. 23 17

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1	(The document referred to,
2	having been previously marked
3	for identification as
4	Contemporary Exhibit No. 3,
5	and was received in evidence
6	except for part of paragraph
7	seven and Appendix A.)
8	MS. SA)OWSKY: Your Honor, at this time I ask that
9	we mark for identification as Contemporary Exhibit 4 a four-
ιo	page document en itled "Direct Testimony of Kenneth Brown,"
11	to which is attached documents identified as Appendix A.
12	There are two
13	JUDGE GTEINBERG: Okay. By my legal tech's count,
14	it's a 25-pages locument, and the document described will be
1.5	marked for identification as Contemporary Exhibit No. 4.
16	And I lon't think I said that Exhibit No. 3 was 23
L7	pages. So now if anybody is curious they now know. I know
18	people are sitting on the edge of their chairs.
1.9	(Laughter.)
20	(The document referred to was
21	marked for identification as
22	Contemporary Exhibit No. 4.)
23	JUDGE STEINBERG: Okay, and you are going to offer
24	4?
25	MS. SADOWSKY: Yes, Your Honor, at this time I
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- offer Exhibit No 4 into evidence.
- MR. ZAINER: Your Honor, the Bureau would object
- 3 to paragraph 10 on page 3 and going over to page 4 for the
- 4 testimony of Ken Brown, and also to Appendix A. And the
- 5 Bureau submits that this material is irrelevant for the same
- 6 grounds that you have excluded previously.
- 7 MS. SA)OWSKY: Your Honor, we respond that the
- 8 first sentence in paragraph 10 does not go to the same
- 9 objection with respect to programming that has been stated
- in the past, and we believe at least that first sentence
- 11 should be admitted.
- JUDGE GTEINBERG: Okay.
- 13 MR. ZA NER: Your Honor, the first sentence could
- be struck also. I think it can be read two ways, and one
- way it can be that Mr. Rice's circumstances have not
- affected the station's operations or performance in any
- meaningful respect because he is continuing to be in
- 18 control. JUDGE STEINBERG: Then why
- 19 strike it?
- MR. ZAJNER: So it can be read either way. I
- think it doesn't tend to advance anybody's case, but I will
- leave it. If you would like to leave it in, I would be
- happy to leave it in.
- JUDGE STEINBERG: Well, I am glad you are happy
- because I am going to leave it in.

1	The first sentence of paragraph 10 will remain in.
2	The remainder of paragraph 10 will be stricken, along with
3	Appendix A for the reason that I stated earlier.
4	And with that ruling, Contemporary Exhibit No. 4
5	is received.
6	(The document referred to,
7	having been previously marked
8	for identification as
9	Contemporary Exhibit No. 4,
LO	was received in evidence,
ll	except for the first sentence
12	in paragraph 10 and Appendix
13	A. >
1.4	MS. SA DOWSKY: Your Honor, at this time I ask to
15	have marked as Contemporary Exhibit 5 12 pages identified as
16	character references. Because the pages consist of separate
17	letters, we may want to take them one at a time. I don't
1.8	know. But in an / case I request that this document be
19	marked for identification as Contemporary Exhibit No. 5.
20	JUDGE 3TEINBERG: Okay, the document identified
21	will be marked as Contemporary Exhibit 5.
22	(The document referred to was
23	marked for identification as
2 4	Contemporary Exhibit No. 5.)
25	MS. SADOWSKY: And I move its admission.

1	JUDGE STEINBERG: Mr. Zauner?
2	MR. ZAUNER: One second, Your Honor.
3	(Pause)
4	MR. ZAUNER: Your Honor, could we have a statement
5	as to the purpose for which these documents are being
6	offered, and specifically which issue? Are they being
7	what I would like to know are they being offered strictly
8	with regard to I;sue No. 4?
9	MS. SA)OWSKY: Yes.
10	MR. ZA INER: Your Honor, the Bureau has no
11	objection to these documents, and they are being offered as
12	character references, that's also my understanding. That's
13	the heading of the exhibit
14	MS. SA)OWSKY: Yes.
15	JUDGE GTEINBERG: Okay, Exhibit No. 5 is received.
16	(The document referred to,
17	having been previously marked
1.8	for identification as
19	Contemporary Exhibit No. 5,
20	was received in evidence.)
21	JUDGE 3TEINBERG: Okay, now let me ask Ms.
22	Sadowsky, does this complete the Licensees direct case?
23	MS. SA DOWSKY: Yes, it does, Your Honor.
24	JUDGE STEINBERG: You can introduce additional
25	stuff with respect to checks if you want. In other words,

- 1 you can supplement your direct -- I mean, I don't want to be
- unfair to you, and you can adduce oral testimony with
- respect to the checks, you can reduce it to writing, you can
- do it through whatever witness you want to.
- 5 MS. SADOWSKY: Our direct testimony of Janet Rice
- does -- Janet Co: -- excuse me -- does address that matter.
- JUDGE GTEINBERG: Okay But if you want to expand
- 8 upon it, you know, you can. I don't want to preclude you --
- 9 I don't want to be unfair to you.
- MS. SADOWSKY: Thank you.
- JUDGE GTEINBERG: So you can reopen that part of
- it and supplemen if you want.
- Okay, so we have two direct cases completed.
- Now, how about notification, witnesses, desire for
- 15 cross-examination. Since the Bureau didn't have any
- sponsoring witnesses, you can't notify anybody unless you
- want Roy Stewart to come in to testify.
- (Laughter.)
- JUDGE GTEINBERG: Let the record reflect humor, an
- 20 attempt at humor
- 21 Mr. Za iner?
- MR. ZAJNER: Your Honor, the Bureau would request
- 23 that the License's present for cross-examination Janet Cox,
- Dan Leatherman and Ken Brown.
- JUDGE STEINBERG: Okay.

- MS. SADOWSKY: Your Honor, one comment on that.
- We know that Mr. Hanks was -- who will be a
- 3 rebuttal witness was employed at the station to which under
- 4 Mr. Hauschild's general managership, not under Mr.
- 5 Leatherman, not inder Ken Brown. We would assume that there
- 6 would be testimony by Mr. Hanks to which Mr. Hauschild could
- address, but the Bureau isn't call him, and my question is
- 8 why not.
- 9 MR. ZA INER: Well, why am I not calling someone?
- 10 You would like me to call someone.
- MR. GAFFNEY: Your Honor, I guess we reserve
- outright to call Mr. Hauschild on surrebuttal if the
- opportunity presented itself.
- JUDGE 3TEINBERG: Okay, we will cross the
- surrebuttal question when we get to that point. I mean, I
- am not going to tell them how to try the case except in
- terms of producing checks, and if they don't want Mr.
- Hauschild, they don't have to have Mr. Hauschild.
- Let me see if I have any questions I want to ask
- 20 Mr. Hauschild. I probably do, but they are probably not
- 21 significant questions, otherwise the Bureau would have
- 22 thought of them.
- Well, I had no significant questions.
- Now, let's see if they call Mr. Hanks. Let's see
- what Mr. Hanks has to say and let's see if you have any

- response to that You might not have any response to that.
- 2 You might be able to rip him into shreds up on the witness
- 3 stand. That's a ways fun.
- 4 Okay, inything further we have to do today?
- 5 MS. SA)OWSKY: Your Honor, with respect to the
- scheduling of winesses, we are going to have Janet Cox be
- our first witnes: And the reason for that is that Ms. Cox
- 8 has a son who is on his way home, will be on his way home
- 9 from Bosnia. She hasn't seen him for a year. He is due
- back on April 10th. We would like to have Ms. Cox finished
- on April 9th, if at all possible, so she could go back home.
- 12 JUDGE 3TEINBERG: That's fine. Any accommodation
- that you two wan: to reach is okay, and the 9th is next
- 14 Tuesday, right? And if we have to work late to finish her,
- we will work late to finish her.
- 16 And if you want to work out a schedule, an
- organized, reaschable schedule for producing the three
- witnesses, that's fine with me. If you want to do Ms. Cox
- 19 Tuesday, and Leatherman and Brown Wednesday, that's -- you
- 20 know, you can do him in the morning and him in the
- 21 afternoon, if we take a break in between, that's fine. In
- other words, you don't have to have them lined up in chairs
- along the wall as some other people used to require. Let's
- 24 be civilized.
- MR. ZAUNER: I would hope we could get them all

- 1 done in one day.
- JUDGE STEINBERG: Okay. But, you know, you work
- out a schedule, and I am sure it's going to be okay with me.
- 4 MR. ZAUNER: Your Honor, If I may raise the
- 5 subject of Mr. Hanks' testimony. Can we tell Mr. Hanks
- 6 that he will not be in the week of the 9th; that it will be
- 7 at a later date, if he is planning at this point?
- 8 We are getting close and we have to make
- 9 reservations.
- JUDGE GTEINBERG: Okay, let's go off the record.
- 11 (Discussion off the record.)
- JUDGE GTEINBERG: We are back on the record.
- 13 While we were off the record, we were just
- 14 discussing the timing of Mr. Hanks and the parties agreed
- that they will try to cooperate and get the deposition
- scheduled at everybody's convenience.
- Okay, anything further, Mr. Zauner?
- MR. ZAJNER: No. nothing that I can think of.
- JUDGE STEINBERG: Ms. Sadowsky?
- MS. SADOWSKY: Not at this time.
- JUDGE STEINBERG: Okay, then we will be in recess
- until next Tuesday, the 9th, at 10:00 a.m.
- Do you want to make it earlier?
- MS. SADOWSKY: No.
- JUDGE STEINBERG: Okay. Tuesday at 10 a.m. Thank

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you very much.
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 2
                 MS. SADOWSKY: Thank you.
                 (Where pon, at 11:36 a.m., the hearing was
 3
      recessed, to reconvene at 10:00 a.m., on Tuesday, April 9,
 4
      1996.)
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